

Docket No.: P2002,0988

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SVEN MAHNKOPF ET AL.

Filed : CONCURRENTLY HEREWITH

Title : TUNABLE SEMICONDUCTOR LASER AND PRODUCTION
METHOD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,141,370 (Avrutsky et al.), dated October 31, 2000;

U.S. Patent No. 5,684,817 (Houdre et al.), dated November 4, 1997;

U.S. Patent No. 5,365,541 (Bullock), dated November 15, 1994;

U.S. Patent No. 4,896,325 (Coldren), dated January 23, 1990;

WO PCT 94/16345 (Meade et al.), dated July 21, 1994.

As per the Notice in 1273 OG 55 (August 5, 2003) no copies of any above-mentioned U.S. patents and U.S. patent application publications are submitted for any application filed after June 30, 2003.

Respectfully submitted,



For Applicants

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Date: November 25, 2003

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| FORM PTO-1449 (SUBSTITUTE) | | Attorney Docket No.: P2002,0988 Appl. No.: _____ | |
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | Applicant: SVEN MAHNKOPF ET AL. | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b)) | | Filing Date: November 25, 2003 Group Art Unit: | |

| EXAMINER INITIALS | | PATENT NO. | DATE | PATENTEE | CLASS | SUB CLASS | FILING DATE |
|-------------------|---|------------|----------|-----------------|-------|-----------|-------------|
| | A | 6,141,370 | 10/31/00 | Avrutsky et al. | | | |
| | B | 5,684,817 | 11/4/97 | Houdre et al. | | | |
| | C | 5,365,541 | 11/15/94 | Bullock | | | |
| | D | 4,896,325 | 1/23/90 | Coldren | | | |
| | E | | | | | | |
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| | G | | | | | | |
| | H | | | | | | |
| | I | | | | | | |

FOREIGN PATENT DOCUMENT

| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB CLASS | TRANSL. YES NO |
|--|---|--------------|---------|---------|-------|-----------|------------------|
| | J | 94/16345 | 7/21/94 | WIPO | | | |
| | K | | | | | | |
| | L | | | | | | |
| | M | | | | | | |
| | N | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| EXAMINER | DATE CONSIDERED |
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